

Controlled Unclassified Information Handling Agreement for a Private Entity

Authority and References:

- Executive Order 13556, November 4, 2010
- Part 2002 of 32 Code of Federal Regulations, September 14, 2016
- Cybersecurity Maturity Model Certification (CMMC) Model 2.0 Overview, Version 2, December 2021
- National Archives and Records Administration, CUI Registry, <https://www.archives.gov/cui/about>

Purpose: In order to obtain and safeguard from unauthorized disclosure organizational information about a candidate (a non-executive branch entity) and the candidate's intended non-federal information system environment, the lead representative for a candidate Cybersecurity Maturity Model Certification Third-Party Assessment Organization (C3PAO) (will be addressed as candidate) will use this document to record a Controlled Unclassified Information (CUI) Handling Agreement between private entity staff (W2 employees) and others invited by the candidate to participant in and/or observe the candidate organization's CMMC Level 2 assessment by the Defense Industrial Base Cybersecurity Assessment Center (DIBCAC) federal employees.

Responsibilities:

Lead Candidate Representative:

- a. Shares the Department of Defense's CUI handling requirements for protecting private entity proprietary information and its federal equivalent with organizational employees and any invited contractors/observers.
- b. Records in this document, by name, the organizational and invited non-organizational individual(s) authorized to participate in/observe the assessment.
- c. Updates this document and provides a copy to the Assessment Lead if candidate participants and/or invited observers change before or during the assessment.

DIBCAC Assessment Lead:

- a. Ensures the DIBCAC members safeguard private entity proprietary information as CUI.
- b. Includes completed handling agreement in final assessment artifacts package sent to the DoD Chief Information Officer (CIO) CMMC Program Management Office (PMO).

As the _____ Lead Candidate Representative, I, _____, affirm that organizational members and any external parties authorized by myself and listed in Tables 2 and 3 of this document understand the following requirements in order to participate in/observe the _____ CMMC Level 2 assessment.

- CUI is handled in accordance with Executive Order 13556, Part 2002 of 32 Code of Federal Regulations, and the National Archives and Records Administration CUI Registry;
- Misuse of CUI is subject to penalties established in applicable laws, regulations, or government-wide policies; and
- We must report any non-compliant CUI handling requirements supporting the _____ CMMC Level 2 assessment engagement as soon as possible to DCMA_DIBCAC_CMMC@mail.mil.

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Record Date/Time of Affirmation and names of authorized individuals:

Table 1-Lead Candidate Representative Affirmation

Lead Candidate Representative Digital Signature of Affirmation	Organization Name	Phone # to be used to access virtual conference

Table 2-Candidate Employee Affirmation

[illegible]

Table 3-Non-Organizational Individual(s) Affirmation

[illegible]